

Substitute for form 1449A/PTO		ATTY. DOCKET NO. D5009-0002CPA	APPLICATION NO. 09/434,645
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		FIRST NAMED APPLICANT Loeper	EXAMINER
(use as many sheets as necessary)		FILING DATE 11/05/1999	GROUP
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U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
CLK	AA	6,085,175	Gugel et al.	07-04-2000	
CLK	AB	6,021,397	Jones et al.	02-01-2000	
CLK	AC	5,812,988	Sandretto	09-22-1998	
CLK	AD	6,253,192	Corlett et al.	06-26-2001	
CLK	AE	5,802,501	Graff	09-01-1998	
CLK	AF	5,774,881	Friend	06-30-1998	
CLK	AG	5,291,398	Hagan	03-01-1994	
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CLK	AI	4,953,085	Atkins	08-28-1990	

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

a		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
CLK	AJ	ELLEN JOVIN, "New Software, New Sophistication", Financial Planning, pp. 1-6, 1998	
CLK	AK	"Planners Must Tailor Mutual Fund Mix to Suit Client", Financial Services Week, August 1990, pp.23 ISSN: 0895-8440	
CLK	AL	THE VANGUARD GROUP OF INVESTMENT COMPANIES, "Retirement Planner", Version 3.0 for Windows, Retirement Planning Software, 1995	
CLK	AM	KENNETH, et al., "Helping Employees Invest Wisely", HR Magazine v38n 11, PP: 77-82, November 1993, Dialog File 15, Accession No. 00794493	

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CLK	AN	6,078,904	Rebane	06-20-2000	
CLK	AO	6,064,984	Ferguson et al.	05-16-2000	
CLK	AP	6,058,376	Crockett	05-02-2000	
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CLK	BF	5,875,437	Atkins	02-23-1999	

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